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PATENT  
0505-4006  
Express Mail Label No.  
EK405869559US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, DC 20231

J1017 U.S. PTO  
 09/801495  
  
 03/08/01

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Christopher Keith  
105 Hudson St. Apt. 5S, New York, NY 10013

For: ROUTING CONTROL FOR ORDERS ELIGIBLE FOR MULTIPLE MARKETS

Enclosed are:

- ☒ 122 pages of specification, 1 page of Abstract, 3 pages of claims 1-24  
☒ 91 sheets of drawings    ☒ formal    ☐ informal  
☒ 1 pages of Declaration and Power of Attorney  
     ☐ unsigned ☒ newly executed    ☐ copy from prior application  
     ☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)  
☐ Claim for Priority  
☐ Certified copy of Priority Documents    ☐ English translation  
☐ Request for Non-publication and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Information Disclosure Statement    ☐ copy of \_\_\_\_\_ cited references  
     ☐ copy of PTO-1449 filed in parent application serial no. \_\_\_\_\_  
☐ Preliminary Amendment  
☒ Return receipt postcard  
☒ Assignment papers (assignment cover sheet and assignment documents)  
     ☒ a credit card authorization in the amount of \$40.00 for recording the Assignment  
     ☐ Assignment papers filed in parent application serial no. \_\_\_\_\_  
     ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)  
☒ This is a ☐ continuation ☐ divisional ☒ continuation-in-part (CIP)  
     of prior application serial no. 09/546,031  
     ☐ Cancel in this application original claims \_\_\_\_ of the parent application before calculating the  
         filing fee (at least one original independent claim must be retained for filing purposes).  
     ☐ A Preliminary Amendment is enclosed, adding claims numbered consecutively after the highest  
         numbered original claim in the prior application.  
☒ The status of the parent application is as follows:  
     ☐ A Petition For Extension of Time and proper Fee has been or is being filed in the parent  
         application to extend the term for action in the parent application until \_\_\_\_\_.  
     ☐ A copy of the Petition For Extension of Time in the co-pending parent application is attached.  
     ☒ No Petition For Extension of Time is necessary in the co-pending parent application.  
☐ Please abandon the parent application at a time while the parent application is pending or at a time  
     when the Petition For Extension of Time in that application is granted and while this application is  
     pending or has been granted a filing date, so as to make the application co-pending.  
     ☐ Transfer the drawings from the parent application to this application.  
☐ Amend the specification by inserting before the first line the sentence:  
     This is a ☐ continuation ☐ divisional ☐ continuation-in-part (CIP) of co-pending application  
     serial no. \_\_\_\_\_ filed \_\_\_\_\_ .

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**CALCULATION OF APPLICATION FEE (For a Small Entity)**

	No. Filed	No. Included in Basic Fee	No. Extra	Rate per Claim	TOTAL
Basic Fee					355
Total Claims	24	20	4	9	36
Independent Claims	4	3	1	40	40
Multiple Dependent Claims				135	
TOTAL					431


☒ Small Entity Status is claimed. Reduced fees under 37 C.F.R. § 1.9(f) are paid herewith.

☐ A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fee is attached.

☒ A Credit Card Payment Form authorizing payment of \$ 431.00 is attached.

Respectfully submitted,

Date: March 8, 2001

  
Brenda Pomerance  
Reg. No. 36,894

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Christopher KEITH  
Filed : Herewith  
Group Art Unit:  
Examiner :  
For : ROUTING CONTROL FOR ORDERS ELIGIBLE FOR  
MULIPLE MARKETS

**EXPRESS MAIL CERTIFICATE**

Express Mail Label No: EK405869559US

Date of Deposit: March 8, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Application including 122 pg. specification, 1 pg. Abstract, 3 pg. claims 1-24
2. 91 sheets drawings, formal
3. Declaration, signed
4. Assignment, signed
5. Assignment Recordation Cover Sheet
6. Application Fee Transmittal
7. Credit card authorization form for \$ 431. (application filing)
8. Credit card authorization form for \$40.00 (assignment recordation)
9. Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

  
Brenda Pomerance

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